

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| (51) International Patent Classification 5: | | (11) International Publication Number: | · WO 92/06733 |
|---|----|--|--------------------------|
| A61M 25/01 | A1 | (43) International Publication Date: | 30 April 1992 (30.04.92) |
| | | | |

(21) International Application Number:

PCT/US91/07710

(22) International Filing Date:

15 October 1991 (15.10.91)

(30) Priority data:

600,483

19 October 1990 (19.10.90) US

(71) Applicants: TRUSTEES OF BOSTON UNIVERSITY [US/US]; 147 Bay State Road, Boston, MA 02115 (US). JOHNS HOPKINS UNIVERSITY [US/US]; 720 Rutland Avenue, Baltimore, MD 21205 (US). DLP, INC. [US/US]; 620 Watson Street, S.W., Grand Rapids, MI 59401 (US).

(72) Inventors: FONGER, James, D.; 95 Hillside Drive, Wayland, MA 01778 (US). JONKMAN, Kenneth, R.; 219 Ives Street, S.W., Grand Rapids, MI 49504 (US). ANDERSON, James, H.; 9813 Davidge Drive, Columbia, MD 21044 (US). MITCHELL, Sally, E.; 3601 Greenway Avenue, Apt. No 507, Baltimore, MD 21218 (US).

(74) Agents: SMITH, James, M. et al.; Hamilton, Brook, Smith & Reynolds, Two Militia Drive, Lexington, MA 02139 (US).

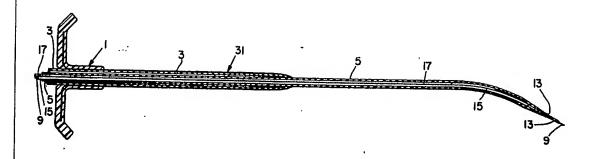
(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PERCUTANEOUS TRANSSEPTAL LEFT ATRIAL CANNULATION SYSTEM



(57) Abstract

A transseptal left atrial cannulation system which provides drainage of left atrial blood without the need for thoracotomy. A guide wire (17) and a long needle assembly (9, 11, 15) are inserted into a catheter (5). A cannula (3) rides over the exterior of this catheter. The guide wire may be advanced past the needle assembly and throught a catheter through the distal end of the catheter to assist in directing the system to the right atrium. The cannulation system is inserted in a femoral vein located in the groin. Both the guide wire and needle assembly are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle assembly are positioned in the heart. When the catheter distal end is positioned adjacent the septum in the right atrium, the guide wire is withdrawn from the catheter orifice and the needle assembly moves past the guide wire and through the catheter orifice to a position adjacent to the septum. The needle pierces the septum and the catheter moves over the needle assembly to further dilate the septal hole. The cannula attached to the catheter also moves through the septal hole, further dilating it, and resisting with the holes in the left atrium. The guide wire, the needle assembly, and the catheter are withdrawn from the cannula. Oxygenated blood from the left atrium drains through the cannula to the extracorporeal pump and back to the body through an arterial cannula.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AT | Austria | es | Spain | MG | Madagascar |
|-----|--------------------------|------|------------------------------|-----|--------------------------|
| AU | Australia | F1 | Finland | ML | Mali |
| 88 | Barbados | FR | France | MN | Mongolia |
| 82 | Belgium | GA | Gabon | MR | Mauritania |
| BF | Burkina Faso | CB | United Kingdom | MW | Malawi |
| BG | Bulgaria | GN | Guinca | NL | Netherlands |
| BJ | Bonin | GR | Greece | NO | Norway |
| BR | Brazil | HU | Hungary | PL | Poland |
| CA | Canada | IT | lialy | RO | Romania |
| CF | Central African Republic | JP | Japan | SD | Sudan |
| Œ | Congo | KP | Democratic People's Republic | SE | Sweden |
| CH | Switzerland | | of Korca | SN | Senegal |
| Ci | Côte d'Ivoire | KR | Republic of Korca | su+ | Soviet Union |
| CM | Cameroon | LI | Liechtenstein | TD | Chad |
| CS | Czechoslovakia | LK | Sri Lanka | TG | Togo |
| DE. | Germany | · LU | Luxembourg | US | United States of America |
| DV. | Dunmark | 140 | M | | |

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

PERCUTANEOUS TRANSSEPTAL LEFT ATRIAL CANNULATION SYSTEM

Background

Circulatory support during coronary bypass 05 surgery, heart transplantation, or after failed coronary angioplasty is currently achieved using cardiopulmonary bypass. This involves the complete support of the heart and lungs by diverting all the blood returning to the heart through a pump and 10 oxygenator, before returning it to the arterial circulation. During coronary artery bypass grafting or heart transplantation, cannulation for cardiopulmonary bypass is done at surgery through the chest, whereas cardiopulmonary bypass for failed 15 coronary angioplasty can be done percutaneously through the groin in the cardiac catherization lab. Regardless of the circumstances or route of cannulation, cardiopulmonary bypass has a time limitation of three to four hours due to the 20 continued trauma to formed blood elements such as platelets and red blood cells. This is primarily due to the oxygenator in the circuit. The patient must undergo full anticoagulation with heparin prior to cardiopulmonary bypass and the bypass circuit 25 must be assembled and run by a certified perfusionist.

Circulatory support before and after surgery may be required for several days. Usually the lungs and right ventricle are functioning adequately and

only the left ventricle requires extended support.

The employment of left ventricular assist allows extended circulatory support without the blood trauma of cardiopulmonary bypass or the services of a perfusionist and requires only partial anticoagulation.

Left ventricular assist requires the drainage of blood from the left atrium of the heart which is currently done by cannulation of the left atrium at 10 the time of surgery. In 1962, an alternative method called "transseptal left atrial cannulation" was proposed by Dennis et al.. in "Left Atrial Cannulation without Thoracotomy for Total Left Heart Bypass", Aca. Chir. Scand. 123: 267-279, 1962 using 15 a metal cannula directed down the right jugular vein. The cannula was directed across the interatrial septum and drained left atrial blood without the need for thoracotomy. More recently, Glassman et al. in "A method of closed-chest 20 cannulation of the left atrium for left atrial-femoral artery bypass", The Journal of Thoracic and Cardiovascular Surgery, Vol. 69, No. 2, Feb. 1975 has advocated transseptal left atrial cannulation by the right femoral vein. These 25 publications describe hardware and procedures which are too complex and awkward for widespread clinical

U.S. Patent No. 4,790,825 issued to Bernstein et al. illustrates one proposed method of transseptal left atrial cannulation based largely on

acceptance.

work with the Glassman group. In Bernstein, first a guide wire protruding through a catheter is inserted into the femoral vein and directs the catheter up the veins to the right atrium. Second, the guide 05 wire is withdrawn from the entire length of the catheter and a needle is directed up the entire length of the catheter and protrudes out the end. The needle pierces the interatrial septum and the catheter is advanced over the needle into the left 10 atrium. Third, the needle is removed from the entire length of the catheter and an obturator (with a circular barb for attaching to the catheter hub) is directed up the entire length of the catheter. Fourth, an external obturator extension is screwed on to the internal obturator. Fifth, a cannula is threaded over the entire length of the catheter and obturator with the tip positioned in the left atrium. Finally, the catheter and the obturator are removed from the interior of the cannula. A thoracotomy is not required for insertion or removal 20 of the left atrial cannula.

Summary of the Invention

25

The cannulation method of Bernstein is complex. The insertion and removal of the guide wire, the needle, and obturator within the catheter risks potential system movement, dislodgement, inadvertent puncturing of chamber walls, and may compromise system sterility. Valuable time is wasted during the required insertions and removals. Also, if the

WO 92/06733 PCT/US91/07710

-4-

internal obturator circular barb should malfunction, the catheter cannot be removed from within the cannula. Accordingly, a simpler, quicker, and safer technique for transseptal left atrial cannulation is desirable.

05

The invention comprises a method and device for draining blood from the left atrium of the heart by utilizing a cannula and catheter in which a guide wire and a needle assembly are positioned axially. The guide wire and the needle assembly can be extended alternately through the distal catheter orifice. A cannula is positioned over the catheter (and can slide thereover) and is inserted into a blood vessel with the catheter. This axial configuration of all the system elements obviates 15 the need for repeated insertion and withdrawal of the guide wire and the needle. Both the guide wire and needle are initially and throughout the procedure positioned within the catheter close to 20 the catheter orifice and can be alternately advanced. The cannula is also initially moved through the veins with the catheter. Once the cannula has been advanced into the left atrium, the guide wire, needle assembly and the catheter can be 25 easily withdrawn in an integral fashion without the risk of barb malfunction leaving the catheter behind. Thus, left drainage can be accomplished safely, quickly, and without compromising sterility.

The device is used as the venous cannula in a percutaneous transseptal left atrial cannulation

system for a left ventricular assist. In use, the catheter, guide wire, needle assembly, and cannula are coaxially configured and inserted together. device is inserted into the femoral vein in the 05 groin, the guide wire is extended through the distal catheter orifice, and under fluoroscopic guidance, the guide wire followed by the catheter, needle, and cannula are positioned in the right atrium of the heart. Both the guide wire and needle assembly are 10 long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle assembly are positioned in the heart. The quide wire is withdrawn into the catheter and remains 15 within the catheter body. The needle assembly is advanced through the catheter orifice to the septum and pierces a hole through the septum into the left atrium. The needle assembly is stiff enough to permit the catheter to advance over it through the 20 septum and into the left atrium. The cannula is then advanced over the catheter through the septum into the left atrium. (Conventional, off the shelf interatrial septal needles are too short and flexible. For example, the conventional Ross and 25 Brockenbrough needles would not be stiff enough to allow the cannula to ride thereover when the needle is positioned in the heart and would not be long enough to allow manipulation through a catheter/cannula coupling assembly.) The guide 30 wire, needle assembly, and catheter are removed as

WO 92/06733 PCT/US91/07710

an integral unit, leaving only the cannula with its tip in the left atrium. Oxygenated blood from the left atrium of the heart is drained by this venous cannula and is returned to the body by an arterial cannula after passing through an extra-corporeal pump. Thus, left ventricular assist is accomplished without the need for thoracotomy.

This technique is simple, safe, efficient and inexpensive. Insertion and removal of individual system elements is avoided and the surgical procedure of thoracotomy is not required for placement or removal. The time restrictions of conventional cardiopulmonary bypass are removed and full patient anticoagulation is not required for this simple extra-corporeal assist circuit. A certified perfusionist is not required to set up or run this system and the cannulae connect to a simple centrifugal pump which is already available as conventional hospital equipment.

The preferred invention includes a peel-away sheath assembly comprised of a thin-walled tube with a tapered end which covers a plurality of holes on the side of the end of the cannula. A hub is molded onto the thin-walled tube. The hub and tube are scored so that they can be pulled back from the cannula and peeled away. During the initial stage of insertion of the system into the femoral vein, the sheath prevents the cannula holes from accumulating particulate fat debris prior to reaching the blood stream. The sheath is pulled

back and peeled away after the cannula is within the femoral vein. This ensures no debris will reach the left atrium and possibly cause a stroke.

In the preferred embodiment, the cannula is 05 coated on both sides with an anti-thrombogenic coating to minimize the potential for blood coagulation on the cannula during long term use.

In the preferred embodiment, the needle assembly includes a metal tube with a narrowed 10 distal end such that a predetermined length of tube can extend out of the catheter orifice but a thicker tube width is stopped at the orifice. The metal tube comprises an inner metal tube which is fixed coaxially within but extends beyond a second outer 15 metal tube. The inner tube has a distal end which is rounded to prevent scraping within the catheter. The inner tube is small enough to pass through the catheter orifice, whereas the outer tube cannot. Thus, the inner tube protrudes only a fixed safe 20 distance from the catheter orifice. A needle wire can be positioned within the inner tube and can be advanced a fixed distance out the distal end of the tube to sharpen the needle. The inner needle lumen also allows aspiration of blood to confirm correct 25 left atrial positioning. The needle assembly is stiff enough to also function as the obturator which holds the catheter rigid during cannula advancement.

The distal end of the needle assembly can be molded into a curve by the operator to assist in directing the needle across the septum. However,

under single plane fluoroscopic guidance the needle direction cannot be accurately determined from the screen alone. To confirm the spatial orientation of the curved end of the needle, a hub with a pointer is connected to the proximal end of the needle assembly.

The above and other features of the invention including various novel details of construction and combinations of parts will now be more particularly described with reference to the accompanying drawings and pointed out in the claims. It will be understood that the particular device embodying the invention is shown by way of illustration only and not as a limitation of the invention. The principles and features of this invention may be employed in varied and numerous embodiments without departing from the scope of the invention.

Brief Description of the Drawings

Figure 1(a) illustrates a longitudinal cross-20 sectional view of the distal end of the cannulation system.

Figure 1(b) shows a side view of the distal end of the cannulation system.

Figure 2(a) illustrates a longitudinal cross-25 sectional view of the proximal end of the cannulation system.

Figure 2(b) shows a side view of the proximal end of the cannulation system.

Figure 3(a) shows a reducer plug in the system of Figure 2(b).

Figure 3(b) illustrates a cannula hub in the system of Figure 2(b).

of Figure 3(c) and 3(d) illustrate side and transverse end views, respectively, of the dual lumen elastic bushing of Figure 2(b).

Figure 3(e) shows a side view of the proximal end of the catheter.

10 Figure 3(f) shows the proximal end of the guide wire.

Figure 3(g) illustrates a transverse end view of the hub and pointer of the needle assembly proximal end.

15 Figure 3(h) shows a longitudinal view of the needle assembly proximal end.

Figure 3(i) shows a longitudinal view of the needle wire proximal end.

Figure 4(a) shows the cannula distal end.

20 Figure 4(b) illustrates the peel-away sheath assembly.

Figure 4(c) shows the catheter distal end.

Figure 4(d) shows the guide wire distal end.

Figure 4(e) shows the needle assembly distal

25 end.

Figure 4(f) shows the needle wire distal end.

Figures 5(a), (b), and (c) illustrate a

detailed longitudinal cross-sectional view of the

distal assembly with different positions of the

guide wire, needle and needle wire.

WO 92/06733 PCT/US91/07710

-10-

Figures 6(a), (b), and (c) show the progressive placement of the cannulation system into the heart.

Figure 7 provides a schematic view of the venous cannulation system, pump, and arterial cannula.

05

10

15

20

Detailed Description of the Preferred Embodiments

Figures 1(a) and (b) illustrate the distal end of the cannulation system. A radio-opaque polyurethane catheter 5 comprising a 32 inch long (but can vary in range from 30 inches to 35 inches), 12 french tube contains a guide wire 17 and a needle assembly 9, 11, 15 which can alternately be advanced through the orifice 13 as shown in Figure 4(c) in the catheter distal end. The catheter is not preformed, but the assembly can be bent by the physician prior to insertion, and the needle retains the shape and imparts a shape to the catheter as illustrated. The needle has sufficient shape memory, yet is sufficiently flexible to follow the shape of a vein without losing its curve once it moves into the atrium.

As shown in detail in Figures 4(e) and (f) the needle assembly includes a needle wire 9 which is stainless steel, a first metal tube 11 which can advance through the catheter orifice 13 and an outer metal tube 15 which cannot extend through the catheter orifice 13. A single metal tube with a narrowed distal end such that a predetermined length of tube projects out of the catheter orifice but a

thicker tube width is stopped at the orifice may be substituted for tubes 11 and 15. The needle assembly comprises two pieces of hypodermic stainless steel tubing of No. 3. temper, held 05 together coaxially by a molded PETG copolyester hub 29. The 20 gauge outer diameter of the smaller tube is .75 inches longer than the 37 inch long, 18 gauge larger tube. The stainless steel needle wire 9 is 39 inches long and .015 inches in diameter. (The 10 lengths of the needle assembly components can be shortened by 2 inches or lengthened by 3 inches. The lengths can be any dimension within this range.) The outer tube 15 has a wall thickness of .006 inch, an outer diameter range of .0495 inch - .0505 inch 15 and an inner diameter range of .0375 inch - 0395 inch. The inner tube 11 has a wall thickness of .006 inch, an outer diameter range of .0355 inch -.0360 inch and an inner diameter range of .0230 inch -.0245 inch. The smaller inner metal tube fits 20 inside of the outer metal tube. The smaller tube is than the outer tube and protrudes out the end for a fixed distance and has a rounded end to prevent scraping within the catheter 5. At the catheter tapered tip, the inner diameter is reduced to .036 25 inch so that only the .036 inch guide wire or only the 20 gauge needle can fit through the orifice 13. The outer tube 15 cannot fit through the catheter orifice 13 and fixes the distance which the inner tube 11 can extend beyond the catheter orifice 13.

WO 92/06733 PCT/US91/07710

The needle assembly punctures the septum and subsequently acts as a stiff curved guide to direct both the catheter and cannula across the septum and into the left atrium. The needle assembly has a 05 stiffness sufficient to guide the catheter and cannula over it as well as have adequate flexibility to permit passage through the veins enroute to the right atrium. Hypodermic needle stock full hard at the aforementioned gauges is used to satisfy the stiffness requirements.

The cannula 3 consists of a 24 inch (but can vary in range from 22 inches to 27 inches) long 21 french radiopaque thin wall polyurethane tube with a tapered tip and side holes 7 at its distal end. The outer diameter for cannula 3 with a 21 french tube is .276 inch. The cannula 3 tube size can vary from 18 french to 24 french. The cannula tapered tip slides over the exterior of catheter 5. The catheter 5 has an inner diameter of .100 inch and 20 the cannula 3 has an inner diameter of .216 inch. The cannula is coated on both sides with an antithrombogenic agent. For example, the cannula may be typically bonded with heparin.

A peel-away sheath assembly is comprised of
25 polypropylene hub 1 which is molded onto a 5 inch
long thin-walled polytetrafluorethylene tube 31 with
a tapered end. Both the hub and the tube are scored
in such a way that they will tear longitudinally in
half and be easily removed from the cannula. The
30 peel-away sheath covers the holes 7 in the cannula 3

during the initial stage of percutaneous insertion when the cannula traverses the subcutaneous fat. It shields the cannula holes from accumulating particulate subcutaneous fat debris prior to reaching the blood stream. Once the cannula is within the femoral vein, the sheath is pulled back and peeled away. Figures 4(a) and 4(b) show the cannula and the peel-away sheath assembly in more detail.

10 Figures 2(a) and (b) show the cannulation system proximal end. The catheter-cannula coupling assembly 99 is comprised of cannula hub 19, barb tube connector 21, reducer plug 23, male connector 25, bushing holder 49, bushing 47 and closing ring 15 27. The cannula hub 19 is clear, hollow, and comprised of two polyvinylchloride components 18 and 20. The distal component 20 of hub 19 is flexible and can be clamped. As shown in Figure 3(b), the cannula hub 19 distal end is fixed to the cannula 3. 20 The cannula hub 19 proximal end is rigid and fixed to a rigid, barbed tube connector 21 which has a standard 3/8 inch diameter. A reducer plug 23, shown in detail in Figure 3(a), includes a molded polypropylene male tapered connector on its distal 25 end and a female tapered connector on the proximal end. The reducer plug male connector is attached to the tube connector 21. The tube connector 21 is comprised of a proximal component which is attached

30 reducer plug female connector wraps around the proximal catheter end to minimize blood loss. As

to the inner portion of a distal component. The

shown in Figures 3(c), (d), and (e), the catheter proximal end includes a polypropylene male connector 25 with a bushing holder 49 including jaws, a dual lumen elastic silicone bushing 47, and a closing 05 ring 27 which provides a friction fit to prevent the guide wire and needle assembly from moving if fixation is desired. The guide wire and the needle assembly are alternately moved axially within the catheter. When properly located, their respective 10 positions are fixed by means of closing ring 27 which clamps both elements.

As shown in Figures 3 (g), (h), and (i), the needle assembly proximal end includes a hub 29 attached to the metal tubes, a pointer 33 for 15 indicating the angular orientation of the curved distal end of the needle assembly, and a molded polypropylene hub 35 attached to the needle wire 9. A single plane fluoroscopic display cannot distinguish the anterior or posterior position of 20 the curved needle distal end. However, when display information is combined with the pointer indication, the needle orientation can be determined. Moreover, the integral configuration of the system allows the protected delivery of the needle assembly to the 25 right atrium of the heart over the guide wire. guide wire 17 is pulled back and the needle wire 9 and the inner metal tube 11 are advanced to effect the transseptal puncture of the heart. Figure 3(f) shows the guide wire proximal end which is moved to 30 position the guide wire. The guide wire 17 is comprised of a stainless steel spring wire wrapped around a separate core wire. The guide wire 17 is

10

15

20

25

140 cm long and .036 inch in diameter. The distal end of the wire is more flexible than the center portion. The guide wire is preformed and has hysteresis to assume a curved shape when extended out of the catheter to prevent catching of the wire on venous side branches, as shown by Figure 4(d). The guide wire guides the catheter to the right atrium and once the catheter is in the left atrium it can be used to assess the distance to the lateral left atrial wall. It can also be used to deflect and foreshorten the catheter tip to minimize the risk of damaging the wall of the left atrium after the catheter has advanced through the septum.

Figures 5(a), (b) and (c) illustrate the operation of the catheter elements. The catheter curvature results from conforming to the preformed needle curve. Figure 5(a) shows guide wire 17 extended in a curled configuration to facilitate guiding the catheter through the venous system. Figure 5(b) shows the withdrawn guide wire and extended inner metal tube 11 of the needle assembly. Figure 5(c) shows the needle wire 9 extended through the inner metal tube 11 to sharpen the needle assembly.

Figures 6(a), (b), (c) illustrate the steps in positioning of the inventive system in the heart. The cannulation system is inserted into the femoral vein using a conventional breakaway Seldinger needle through which guide wire 17 is threaded. The

30 transseptal cannulation system is advanced over

guide wire 17 into the femoral vein. Both the guide wire and needle are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of 05 the guide wire and needle are positioned in the heart. Thus, the cannula can be easily loaded thereover and carried therewith through the vein. Once the cannula holes 7 pass into the blood stream, the sheath 1, 31 is pulled back and peeled away. 10 The guide wire 17 assists in guiding the cannulation system to the right atrium of the heart under fluoroscopic guidance. Once the catheter is in the right atrium with the cannula at the level of the diaphragm, the guide wire is withdrawn into the 15 catheter and the needle assembly is advanced to the septum. Figure 6(a) shows this position where the curved end of the needle-catheter is touching the The curved needle is dragged down the interatrial system into the fossa ovalis area of the 20 septum. A ridge surrounds this region and provides a tactile and visual indication of falling into the The tube 11 is oriented 45° dorsally. fluoroscopic display of the needle tip and the hub pointer on the needle assembly provide confirmation 25 of proper orientation. When the needle is properly positioned, the needle wire 9 is advanced and the septum is pierced.

Figure 6(b) shows the subsequent dilation of the septal hole as the catheter is advanced over the needle assembly. Figure 6(c) shows the further dilation of the septal hole as the cannula enters the left atrium. The appearance of red oxygenated blood from the left atrium in the cannula indicates the tip of the cannula is in the left atrium. The fluoroscopic display provides an indication of the oscillation actual cannula location. As an option, the needle wire 9 can be removed from the metal tubes and a radio-opaque dye injected to further confirm the location of the cannula. Also, the curved end of the guide wire can be advanced and observed under fluoroscopy to determine the distance to the lateral left atrial wall. When the cannula is properly positioned, the guide wire, needle assembly and catheter are withdrawn and removed.

Figure 7 shows the complete left ventricular
assist system. Oxygenated blood from the laft
atrium drains through the venous cannula 3 to pump
37. A centrifugal pump which can pump blood safely
for several days is shown. However, any
conventional pump can be used. For example, a
roller pump can also be used in the system. The
blood is returned to the body by means of an
arterial cannula 41 inserted into the femoral
artery.

Possible clinical applications of the invention
include three separate aspects of adult cardiac
care. First, during coronary angioplasty, there is
a risk of unexpected coronary artery damage
resulting in hemodynamic collapse. If the patient
was known to be high risk prior to the angioplasty
procedure, a conventional guide wire could be

positioned across the interatrial septum prior to the angioplasty. This device, with its guide wire removed, could then be inserted over the prepositioned guide wire for left ventricular assist if a significant problem developed during the procedure. If the problem was completely unanticipated, however, the percutaneous transseptal left atrial cannula atrial system would include all the elements necessary to achieve expeditious transseptal left atrial cannulation and facilitate left ventricular assist.

Secondly, in centers that have an active cardiac transplant program, many patients develop severe cardiac failure while waiting for a heart 15 donor. Mild to moderate cardiac failure can be managed with medications and an intra-aortic balloon pump. However, severe cardiac failure requires some form of left ventricular assist. Although surgically implantable devices are available at a 20 number of centers, arrangements for their insertion is often complex and involves many delays. A number of centers also do not have access to any implantable technology despite having an active cardiac transplant program. This invention would 25 allow left atrial drainage without thoracotomy and the establishment of left ventricular assist using universally available centrifugal pumps while arrangements were made either for surgical insertion of a more permanent implantable device or while a 30 donor heart was found.

Finally, this device could be considered for post-cardiotomy left ventricular assist by inserting the device in the operating room after heart surgery. In this setting, the patient may have 05 failed to separate from the heart-lung machine and will require several days of temporary left ventricular assist. Insertion in this setting need not be under fluoroscopic control but could be directed by the surgeon through the groin to the 10 heart. The needle assembly and catheter could be directed across the septum by feeling the cannula through the wall of the right atrium while still on cardiopulmonary bypass. The advantage of this approach over direct surgical cannulation of the 15 heart would be that the chest would not have to be reopened several days later when the system was ready to be removed. The risk of bleeding around surgical cannulation sites would be eliminated and the risk of postoperative mediastinal infection 20 would be reduced.

Equivalents

Those skilled in the art will recognize, or be able to ascertain, using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein.

These and all other equivalents are intended to be encompassed by the following claims.

10

15

20

25

CLAIMS

1. A cannulation system for draining blood from the left atrium of the heart through a blood vessel comprising as an assembly to be inserted through the blood vessel:

a catheter having a distal end and a proximal end, said distal end including an orifice and an axial cavity, the cavity width being reduced at the orifice;

a guide wire;

a needle;

said guide wire and said needle located axially in the catheter such that either the guide wire or the needle can alternately be extended through the orifice at the catheter distal end; and

the needle having a stiffness such that the catheter and cannula can be passed over the needle and through the atrial septum, but flexible enough to pass through a blood vessel.

2. A cannulation system as recited in Claim 1 further comprising:

a cannula, having a distal end and a proximal end, surrounding the catheter, said cannula distal end having a hole located transverse to the longitudinal axis of the cannula such that the transverse hole of the cannula slides over the exterior of the catheter.

25

30

3. A cannulation system, as recited in Claim 2, further comprising:

a flexible, hollow cannula hub with a distal end and a proximal end;

os said cannula hub distal end being clampable such that blood in the cannula will not pass through the cannula hub proximal end.

4. A cannulation system, as recited in Claim 3, further comprising:

a hollow reducer plug with a distal end and a proximal end;

said reducer plug distal end being connected to said cannula hub proximal end; and said reducer plug proximal end surrounding

said reducer plug proximal end sairounding said catheter proximal end, the interior diameter of the reducer plug being smaller than the interior diameter of the cannula hub.

5. A cannulation system, as recited in Claim 4, in20 which the catheter proximal end further comprises:

a male connector with a distal end and a proximal end, said male connector distal end being coupled to said reducer plug proximal end;

a dual lumen elastic bushing which is positioned in the male connector proximal end; said bushing holding the guide wire and the needle, said male connector proximal end including a holder for the bushing; and

PCT/US91/07710

20

25

- a closing ring positioned at the male connector proximal end such that a friction fit prevents the guide wire and the needle from moving in the catheter axially if desired.
- 6. A cannulation system, as recited in any of Claims 2-5, further comprising:
 - a plurality of holes on the side of said cannula;
- a peel-away sheath assembly including a thin-walled tube with a tapered end which covers the plurality of holes on the side of the cannula,

said tube being scored such that it can be pulled back from the cannula and peeled away.

- 7. A cannulation system, as recited in Claim 6, further comprising a hub which is molded onto the thin-walled tube.
 - 8. A cannulation system, as recited in any of Claims 2-7, in which the cannula is coated with an anti-thrombogenic coating.
 - 9. A cannulation system, as recited in any preceding Claim, in which the guide wire has a curved flexible end such that the guide wire can guide the cannulation system in a blood vessel and the guide wire can be extended from the catheter orifice to assess the distance from the catheter orifice to a body part.

10. A cannulation system, as recited in any preceding Claim, in which said needle further comprising a needle wire with a distal end and a proximal end, and a molded hub attached to the needle wire proximal end, said needle wire is positioned within the inner metal tube such that movement of the molded hub towards the catheter distal end advances the needle wire distal end through the inner metal tube distal end.

05

10

- 11. A cannulation system, as recited in any preceding Claim, further comprising a guide wire and needle length which are long enough to allow a substantial length to extend out of the body at the groin for manipulation even when the distal ends of the guide wire and needle are positioned in the heart.
- 12. A cannulation system, as recited in any preceding Claim, in which the guide wire is further comprised of a core wire and a stainless steel spring wire such that said spring wire is wrapped around said core wire.
- 13. A cannulation system, as recited in any preceding Claim, in which the needle further comprises a metal tube with a narrowed distal end such that a predetermined length of tube can extend out of the catheter orifice but a thicker tube width is stopped at the orifice.

- A cannulation system, as recited in Claim 13, in which the tube further comprises an inner metal tube and an outer metal tube, such that the inner metal tube is longer and narrower 05 than the outer metal tube and a molded hub which joins the inner and outer metal tubes coaxially, said inner metal tube having a distal end which is rounded to prevent scraping within the catheter, said inner metal tube 10 being of a diameter to pass through the catheter orifice, said outer metal tube being of a diameter such that it cannot pass through the catheter orifice such that the inner metal tube cannot protrude beyond a fixed distance 15 from the catheter orifice.
 - 15. A cannulation system, as recited in Claim 14, in which said inner and outer metal tubes have a proximal end, said tube proximal end further comprising a hub with a pointer such that the angular orientation of the pointer indicates the spatial orientation of the curved distal end of the needle.
- 16. A cannulation system, as recited in any preceding Claim, in which the distal end of the needle may be preformed to a curve by the operator.

10

15

20

17. A cannula peel-away sheath assembly comprising: a cannula including a plurality of holes

a cannula including a plurality of noies located on the side of the cannula parallel to the longitudinal axis of the cannula; and

a peel-away sheath assembly including a thin-walled tube with a tapered end which covers the plurality of holes on the side of the cannula,

said tube is scored such that the sheath assembly prevents the cannula holes from accumulating fat particle debris when passing through subcutaneous fat prior to reaching a blood vessel; and

said sheath assembly can be pulled back and peeled away after the cannula is within the blood vessel.

- 18. A method of percutaneous transseptal left atrial ventricular assist for extracting oxygenated flood from the left atrium of the heart by a venous cannulation system and returning the blood to the body by an arterial cannula after passing through an extra-corporeal pump comprising the steps of:
- (a) inserting the venous cannulation

 25 system into the femoral vein, said venous
 cannulation system including a guide wire and a
 needle located in a catheter with a distal end
 orifice and a cannula riding over the exterior
 of the catheter;

WO 92/06733 PCT/US91/07710

- (b) advancing the guide wire through the catheter orifice and moving the catheter over the guide wire through the inferior vena cava to the septum in the right atrium of the heart;
- (c) withdrawing the guide wire into the catheter and extending the needle distal end to the septum;

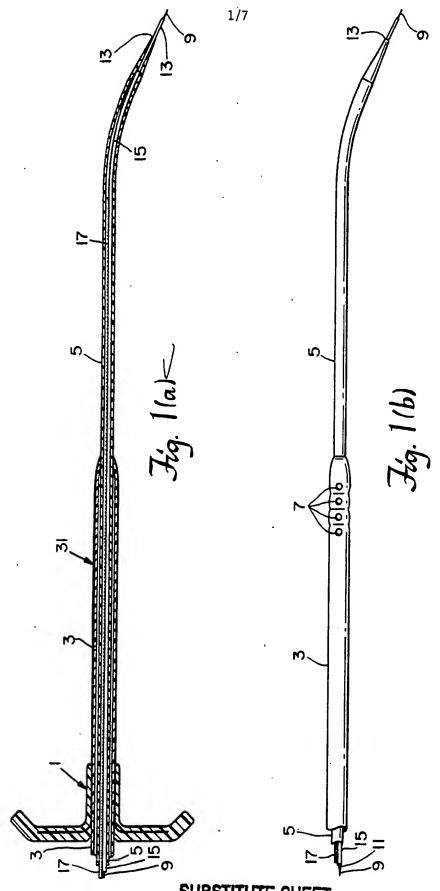
05

10

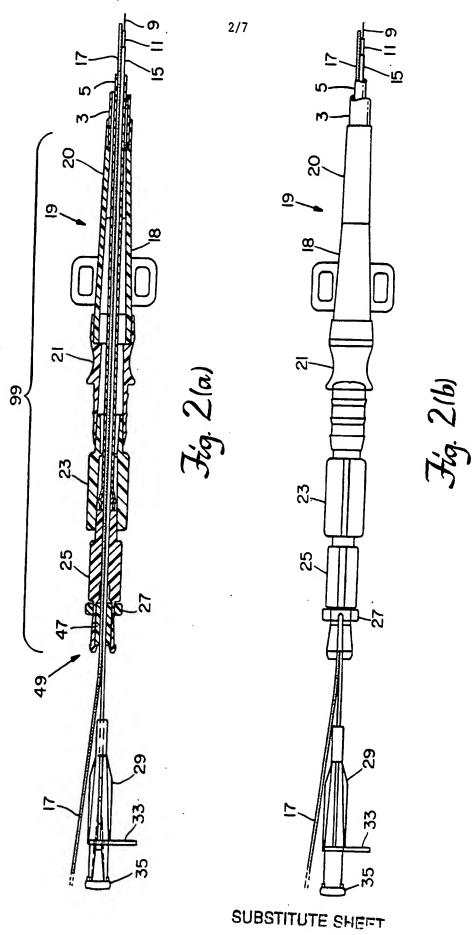
15

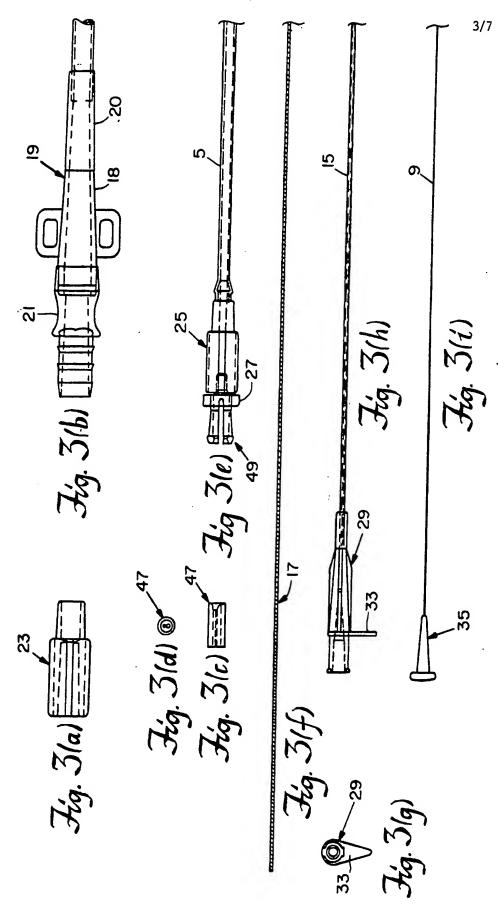
- (d) piercing the septum with the needle to form a hole;
- (e) moving the catheter over the needle assembly to further dilate the septal hole;
- (f) moving the cannula into the left atrium to further dilate the septal hole;
- (g) withdrawing the guide wire, the needle, and the catheter from the cannula; and
- (h) draining oxygenated blood from the left atrium through the venous cannula to the extra-corporeal pump and back to the body through the arterial cannula.
- 20 19. A method of inserting a cannula into a blood vessel, said cannula including a plurality of holes located on the side of the cannula parallel to the longitudinal axis of the cannula, comprising the steps of:
- 25 (a) attaching a peel-away sheath assembly to the cannula to cover the cannula holes, said peel-away sheath assembly including a thin-walled tube, said tube is scored;

- (b) inserting the cannula peel-away sheath assembly into a blood vessel by passing through a subcutaneous layer of fat such that said sheath assembly prevents the cannula holes from accumulating fat particle debris; and
- (c) pulling back and peeling away the sheath assembly after the cannula is within the blood vessel.

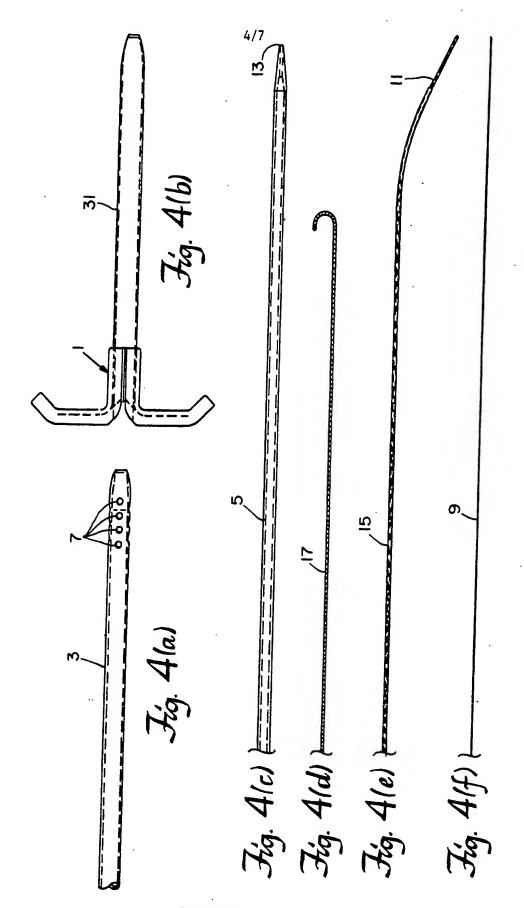


SUBSTITUTE SHEET



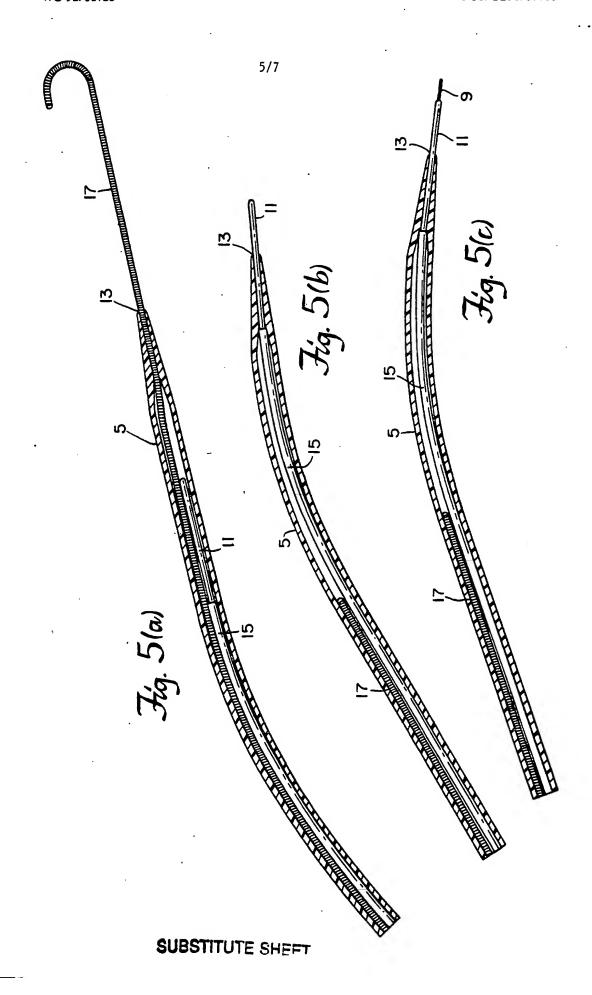


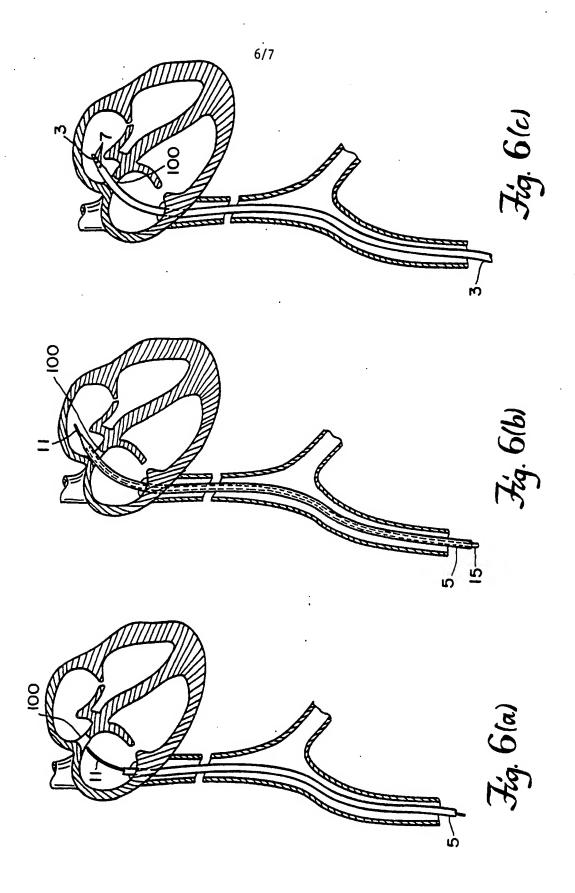
SUBSTITUTE QUEET



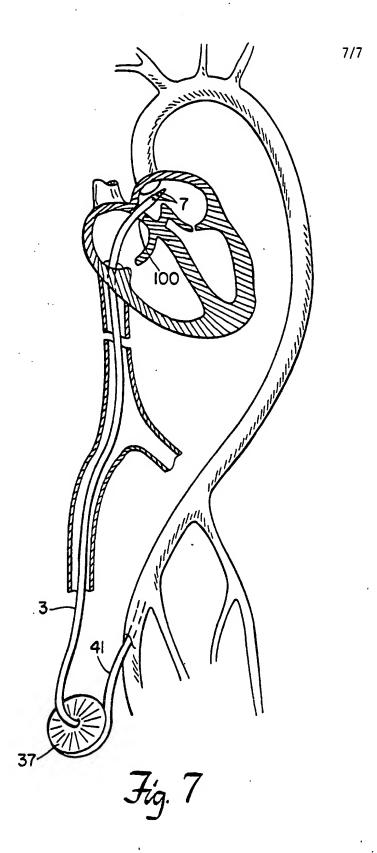
SUBSTITUTE SHEET

PCT/US91/07710





SUBSTITUTE SHEET



SUBSTITUTE SHEET

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 91/07710

| L CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)6 | | | | | | |
|---|---|---|---|-------------------------------------|--|--|
| According to International Patent Classification (IPC) or to both National Classification and IPC | | | | | | |
| | . 5 A61M25/0 | | • | ; | | |
| | | • | | | | |
| | | <u></u> | | | | |
| II. FIELD | S SEARCHED | | | | | |
| | | Minimum Doc | umentation Searched | | | |
| Chasifica | tica System | | Classification Symbols | | | |
| | _ | | • | | | |
| Int.Cl | . 5 | A61M; A61B | | • | | |
| | | | • | | | |
| | | | ber than Minimum Documentation ats are Included in the Fields Searched ⁸ | | | |
| | | · · · · · · · · · · · · · · · · · · · | | | | |
| İ | | | | | | |
| | | | · | | | |
| | | D TO BE RELEVANT | | 1 m 1 m 2 m 2 m 12 | | |
| Category ° | Citation of De | ocument, 11 with indication, where appro | opriate, of the relevant passages 12 | Relevant to Claim No.13 | | |
| 1. | | | AUTOO) O U . 1000 | 1,,,, | | |
| Α | | 901 797 (SURGICAL DYN | | 1-19 | | |
| 1 | see abst | tract; figures 1-2,5, | 9,1/ | | | |
| 1, | IIS A A - | 790 825 (BERNSTEIN ET | Al 13 December | 1-19 | | |
| A | 1988 | ואט פכט (סכאמטובנא בו | Vr.) 13 necember | | | |
| 1 | | the application | | | | |
| [| | whole document | • | i | | |
| | 366 0116 | mine ie desemblie | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| 1 | | • | | | | |
| | | | | | | |
|] | | | | | | |
| f l | | | | | | |
| | | | | | | |
|] | | | | | | |
| | | | | | | |
| Į į | | | | | | |
| | | | | | | |
| * Special | categories of cited doc | unents: 10 | "I" later document published after the intern | ational filing date | | |
| "A" doc | nment defining the gen | eral state of the art which is not | or priority date and not in conflict with t cited to understand the principle or theor | ne application but y underlying the | | |
| | uidered to be of particulier document but sublic | iar relevance thed on or after the international | invention "X" document of particular relevance; the cia | | | |
| fili | ng date | | cannot be considered novel or cannot be | considered to | | |
| "L" doc | ument which may throw ch is cited to establish t | doubts on priority claim(s) or the publication date of another | involve an inventive step "Y" document of particular relevance; the cla | ined invention | | |
| citation or other special reason (as specified) cannot be considered to involve an inventive step when the | | | | | | |
| oth | other means ments, such combination being obvious to a person skilled | | | | | |
| "P" doc: | sment published prior t or than the priority date | o the international filing date but claimed | in the art. "&" document member of the same patent far | nily | | |
| IV. CERTII | | | | | | |
| | Actual Completion of th | e International Search | Date of Mailing of this International Sea | rch Report | | |
| 200 W 1877 | 19 FEBRU | | 25. 02. 92 | 10 | | |
| | 15 1 CBRO | | | | | |
| International | Searching Authority | | Signature of Authorized Officer | | | |
| | EURGPEA | N PATENT OFFICE | MIR Y GUILLEN V | /_ | | |
| | | ····· | | | | |
| arm PCT/ISAC | 250 (second short) (James'y | 1945) | | | | |

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US 9107710 SA 53336

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 19/02/92

| Patent document cited in search report | Publication date | | Patent family member(s) | Publication date |
|--|---------------------|----------------------------------|---|--|
| ₩0-A-8901797 | 09-03-89 | US-A- AU-B- AU-A- EP-A- | 4863430 607374 2421288 0374183 | 05-09-89 28-02-91 31-03-89 27-06-90 |
| JS-A-4790825 | 13-12-88 | DE-A- FR-A- GB-A- JP-A- | 3728371 2605519 2194735 63220859 | 31-03-88 29-04-88 16-03-88 14-09-88 |
| | | | | |
| | · | | | |
| | | | | ٠ |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| · | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

□ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.